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Allometric equations for total, above- and belowground biomass and carbon of the Amazonian forest type known as campinarana

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ABSTRACT

The Amazon forest comprises many different forest types, amongst them are campinas and campinaranas, which occur on Amazonian sandy soils, representing 2.65% of Amazonian territory. An understanding of the ecology and quantification of the environmental goods and services of campinaranas is key to their conservation. Based on a direct method to estimate biomass and carbon content of campinarana, we harvested and weighted 89 trees and other forest components in ten randomly allocated plots of 100 m² (10 x 10 m) and 11 additional trees outside the plots. The data allowed us to describe how biomass is distributed amongst campinarana vegetation and amongst tree compartments. We developed allometric equations to estimate the total, above- and below-ground biomass and carbon stock of this forest type. We used a Weibull function to test if the diameter distribution of the individual trees sampled was consistent with the diameter distribution of the forest type. We also tested if terra-firme forest biomass equations could be used to estimate campinarana biomass, and whether a correction factor based on dominant height would reduce the error from these estimates. Allometric equations are considered to be the most reliable and rapid method for calculating forest biomass, and are used in forest management and climate change studies. These are the first total biomass was: ln (Total Biomass) = -1.373 + 2.546 * ln DBH (R ² = 0.98, S_{xy%} = 4.19%).

KEYWORDS: modelling; forests on sand; climate change

Equações alométricas para estimar carbono e biomassa total, aérea e de raízes de campinaranas da Amazônia

RESUMO

Entre as diversas fitofisionomias da Floresta Amazônica estão as campinas e campinaranas, que ocorrem sobre solos arenosos, ocupando 2,65% de seu território. Baseando-se no método direto, com a finalidade de estimar carbono e biomassa de uma campinarana na Amazônia Central, derrubamos, medimos e pesamos 89 árvores e outros componentes florestais em dez parcelas de 100 m² (10 x 10m) alocadas aleatoriamente, e mais 11 árvores fora das parcelas. A partir dos dados coletados, desenvolvemos equações alométricas para estimar a biomassa total, aérea e de raízes e o estoque de carbono para esse tipo florestal. A função *Weibull* foi utilizada para comprovar que a distribuição diamétrica das árvores coletadas é análoga a esse tipo florestal. Verificamos, ainda, qual o erro gerado ao se estimar a biomassa da campinarana utilizando equações desenvolvidas para florestas densas de terra-firme, e se o uso de um fator de correção baseado na altura dominante reduziria esse erro. O uso de equações alométricas é considerado o método mais preciso e rápido na obtenção da biomassa florestal, e é utilizado em questões ligadas, entre outras, às áreas de manejo florestal e de clima. Essas são as primeiras equações de biomassa total desenvolvidas para campinaranas nesta região da Amazônia. A melhor equação ajustada para estimar a biomassa total foi: ln(PFtotal) = -1,373 + 2,546 * ln(DAP) (R²=0,98; S_{xyde} 4,19).

PALAVRAS-CHAVE: modelagem; florestas sobre areia; mudanças climáticas

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INTRODUCTION

Knowledge of plant biomass is important in many areas of forest management and research, including silviculture, climate change and forest ecology. In the Amazon, estimation of carbon stock and its cycling, assessed through studies of forest biomass, has become an important input for the current intense debate about climate change.

Plant biomass estimates can be obtained either directly or indirectly. The direct method consists of cutting and weighing the vegetation within fixed-area plots during a forest inventory. The indirect method consists of applying allometric equations to forest inventory data, which can provide faster and more accurate estimates of forest biomass than the direct method (Brown *et al.* 1989).

Allometric equations can be developed using analytical or empirical methods. Analytical equations are derived using allometric relations, which are based on scale proportions that are alike in nature. This method has the potential to replace the destructive (empirical) approach, however, it still needs further refinement from empirical data. Biomass studies by Zianis and Mecunccini (2004) and Chambers *et al.* (2004) showed that analytical equations introduce greater errors than those of empirical equations. In the empirical method, coefficients are obtained by fitting the equations to field data.

The development of specific equations for different forest types and site indexes, and the use of a network of long-term plots, are essential for obtaining reliable estimates of biomass and carbon stock and flux at larger scales. Biomass equations for dense and open rainforest have been developed by many authors (Uhl *et al.* 1988; Santos 1996; Higuchi 1998; Araújo 1999; Nelson *et al.* 1999; Chambers *et al.* 2001; Chave *et al.* 2005; Silva 2007), but there are still significant gaps to be filled, especially where different forest types, site indexes, below-ground biomass and forest components other than adult trees are concerned.

Campinarana forest is the taller part of the campina ecosystem, which consists of heath forests growing on Amazonian sandy soils. Despite the great richness of endemic species (Anderson *et al.* 1975) and the unknown ecological importance and economic potential of campinaranas, many are threatened by deforestation (Oliveira *et al.* 2001). Understanding the ecology and quantifying the environmental goods and services of campinaranas is vital for their conservation. The present study is the first to present total biomass equations for campinaranas in the central Amazon.

The objectives of this study were to (a) obtain biomass content of a campinarana in central Amazonia, (b) develop allometric equations to estimate total, above- and belowground biomass and carbon stock, and (c) test whether equations from terra-firme dense forest can be used to estimate campinarana biomass and if a correction factor

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based on dominant height would diminish the error from these estimates.

MATERIAL AND METHODS

Study site

The study was carried out in 20 hectares of campinarana in the Tropical Forestry Experimental Area of the Instituto Nacional de Pesquisas da Amazônia (EEST-INPA) in the central Amazon, located 90 kilometres from Manaus. The EEST–INPA comprises 21,000 ha and is located between 02°37' and 02°38'S and 60°09' and 60°11'W (Teixeira *et al.*2007; Pinto 2008).

The local climate is classified as Amw by Köppen (hot and humid), and the annual temperature averages 26.7 °C (Pinto *et al.* 2003). The average annual precipitation is 2610 mm. In 2000, the precipitation reached 3450 mm (Silva *et al.* 2002; Chambers *et al.* 2004). The EEST-INPA soils vary mainly according to topography. On plateaus, the soil is more clayey, whereas in valleys (low-lying drainage areas with or without superficial water) the soil tends to be more sandy (Ferraz *et al.* 1998; Magalhães *et al.* 1998). The EEST–INPA campinarana soil is classified as Red-Yellow Podzolic with dense thin roots laying over the mineral soil (Nardoto 2005).

Data collection

In order to understand how aboveground biomass is allocated amongst different types of plants and litter in campinaranas, we utilized the direct method at ten randomly allocated plots of 100 m² (10 x 10 m). All above-ground biomass within each plot including seedlings, trees (DBH > 5 cm), palm trees, and lianas, were cut down and weighed separately (fresh biomass weight). In addition, litter was collected and weighed from five randomly allocated sub-plots of 1 m² within each plot.

Firstly, trees were cut down and measured for DBH, merchantable height (i.e., stem length from the base to the first branch) and total height, and then weighed separately by compartments. The above-ground portion of the trees was sub-divided into large branches (base diameter ≥ 10 cm), small branches (diameter < 10 cm) and leaves.

Roots from individual tress with DBH > 5 cm were dug out and weighed after cutting all aboveground biomass of the plots. Only coarse roots were considered below-ground; these were subdivided into two classes: 2 mm < base diameter < 5 cm and base diameter \geq 5 cm. Thinner roots (< 2 mm) were not included because they are not distinguishable from litter (IPCC 2006).

Data from above- and below-ground biomass of the sampled trees within each plots, and of 11 additional trees selected randomly outside the plots were used to develop campinarana forest biomass equations. Thereby, a total of one hundred trees with DBH > 5 cm were harvested, dug out and weighed.

To test sample sufficiency, a trial regression was fitted after fifty trees were weighed. Sampling continued until a confidence interval inferior to 10% of the mean was reached, that's was the main reason why we collected data from 11 trees outside the plots. This level of precision was based on the accepted limit value for forest inventories and other biomass studies (Silva 2007). In addition, to confirm sample adequacy, a *Weibull* function was used to test if the diameter distribution from the individual felled trees was consistent with the diameter distribution of the forest. The *Weibull* probability density function is the most utilised due to its flexibility (Campos and Leite 2006) and has produced reliable results for the central Amazon (Higuchi 2007).

Data analysis

To understand how biomass is distributed within campinarana forest, components (seedlings, trees, palm trees, lianas and litter) the confidence interval (CI 95%) and the uncertainty were calculated separately for each category. Uncertainty is the measure of dispersion currently used in climate change studies and adopted by the Intergovernmental Panel on Climate Change (IPCC). Uncertainty is estimated by dividing the confidence interval (z or t value multiplied by the standard error of the mean) by the mean of the desired variable (Silva 2007). In accordance with common practice in forest inventories and forestry assessments, uncertainties below 10% were considered acceptable.

To develop biomass equations, regression coefficients (β_0 , β_1 and β_2) were estimated by inserting individual tree fresh biomass weights as dependent variables into seven different models (Table 1). These models were obtained from the allometric studies of Santos (1996) and Silva (2007), and use DBH, total height and merchantable height as independent variables.

These seven models were tested using the total biomass (i.e., above-ground plus coarse root biomass) as the dependent variable. Regressions were fitted using all of the tree data (n=100) divided into two diameter classes: saplings with DBH between 5 and 10 cm (n = 53) and adult trees with DBH \geq 10 cm (n = 47). The model significance was ascertained with an Analysis of Variance for regression.

 Table 1. Logarithmic and exponential biomass allometric models proposed by Santos (1996) and Silva (2007) used to adjust the biomass equations for campinarana forest.

LOGARITHMIC MODELS	EXPONENTIAL MODELS
Model 1. In Wi= $\beta 0 + \beta 1$ In D + In ϵ_i	Model 5. Wi= $\beta 0 D^{\beta 1} + \epsilon i$
Model 2. In Wi= β 0 + β 1 In D + β 2 In TH+ In ϵ_i	Model 6. Wi= β 0 D ^{β1} TH ^{β2} + ϵ i
Model 3. In Wi= β 0 + β 1 In D + β 2 In MH+ In ϵ i	Model 7. Wi= β 0 D $^{\beta 1}$ MH $^{\beta 2}$ + ϵ i
Model 4. In Wi= $\beta 0 + \beta 1$ In D ² TH + In ϵi	

Wi= fresh biomass weight of each tree or tree portion; D= DBH of each tree; TH= total height; MH= merchantable height; β 0, β 1 and β 2 = regression coefficients; ϵ i = random error; ln= natural logarithm. The performance of different equations was compared through the coefficient of determination (\mathbb{R}^2), which indicates how much of the dependent variable is explained by the independent variables in the equation, the standard deviation of the mean as a percentage ($S_{xy\%}$), and the distribution of the residuals. The latter two measures indicate the equation's precision. The standard errors of logarithmic models were recalculated because the original error generated by the regression refers to the natural logarithm of the biomass (*lnB*) and not to the biomass itself. This recalculation allowed the logarithmic and exponential equations to be compared.

Although campinarana forests are not as tall as primary dense forests, their structure is not dissimilar. To test whether biomass equations developed for dense forests can be used for campinaranas, equations developed for primary dense forest in the central Amazon (Silva 2007) were fitted to the data collected in this study, and the error between estimated and true values was calculated. Also, we tested if this error may be reduced by incorporating a correction factor of campinarana dominant height divided by dense forest dominant height.

The dominant height of the campinarana was calculated as the mean height of the 20% largest felled trees (Loetsch *et al.* 1973). For the primary dense forest, we used a dominant height of 28,6 m, as calculated by Lima (2010) using a dataset of 494 trees measured in the central Amazon, in the same region as the campinarana studied in here. The significance of this correction factor was tested.

RESULTS

Biomass

The above-ground fresh biomass of five fixed-area plots averaged 4,528 \pm 1,530 kg (95% CI) and litter averaged 276,80 \pm 155 kg (95% CI) per 100 m². Tree biomass represented 94% \pm 2.7 (IC 95%) of the total fresh above-ground weight, whilst palm trees, lianas and seedlings represented altogether 6% \pm 53 (IC 95%) of it (Table 2).

The above-ground biomass of individual trees averaged 389 \pm 165 kg (CI 95%) and the below-ground biomass averaged 47 \pm 21 kg (CI 95%), the trunk represented 62% of individual trees weight (Figure 1). The mean DBH of sampled trees was 13.4 \pm 1.9 cm (95% CI), and the mean total height was 15.9 \pm 1.1 m (95% CI). According to the Weibull function performed by chi-squared test, there was a weak evidence (p = 0.79) that the observed DBHs of sampled trees were different from the expected DBH distribution (Figure 2).

Allometric equations

The regression using fresh weight data of trees fitted to the allometric models previously selected generated 54 different biomass equations. Our analysis of the allometric equations developed for campinaranas showed that all tested models were Table 2. Above-ground biomass of trees, lianas, palm trees, seedlings and litter of 100-m² plots in a campinarana forest in central Amazonia. Standard deviation, uncertainty and confidence interval refer to the mean weight.

	Live above-ground fresh biomass weight (kg)			Litter	
	Trees	Lianas	Palm trees	Seedlings	— Litter weight (kg)
N plots	5	5	10	10	5
Contribution to total weight	94%	2%	2%	2%	100%
Mean weight	4262.84	84.22	58.67	127.06	276.80
SD	1675.35	94.79	54.13	47.05	177.37
CI (95%)	1468.48	83.08	33.55	29.16	155.47
Uncertainty (%)	36.21	103.68	60.10	24.12	59.03

N plots=number of plots; SD=standard deviation; CI= confidence interval

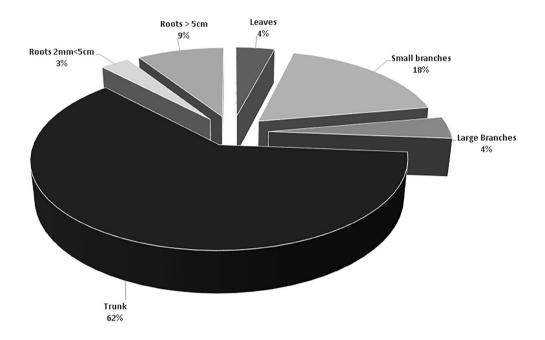


Figure 1. Campinarana tree above- and below-ground fresh biomass distribution of compartments of trees sampled in a campinarana forest in central Amazonia.

highly significant (p<0.001). In all nine tested categories, there was more than one equation that fulfilled the conditions of an error ($S_{xy\%}$) inferior to 10%, a high coefficient of determination (R²) and a symmetrical residual distribution. The models performed better when all of the tree data were used together instead of divided into diameter classes. Hence, it is more efficient to use one equation for the whole tree population than to use separate equations for each diameter class. Except for the sapling equations, the exponential models had skewed residual distributions, indicating that they were inappropriate for estimating biomass over all diameter classes.

Although saplings were more numerous than adult trees, their coefficients of determination were lower and their errors were higher than those of adult trees. The two best fitted equations for each diameter category were selected (Table 3).

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Use of primary dense forest equations

The fitting of campinarana data to primary dense forest equations to estimate above-ground, below-ground and total biomass suggested that, in the absence of a campinarana equation, the dense forest equation could be used, as campinarana has a similar structure to dense forest (Table 4). Multiplying the equations by the dominant height ratio was not effective in diminishing the error associated with the above-ground and total biomass equations. In all cases, contrary of what we predicted, the correction factor did not substantially change the error (Table 5). Dominant height calculated for campinarana was 24.6 m, and the correction factor in relation to the dominant height of an adjacent dense primary forest (28.6 m) was 0.86.

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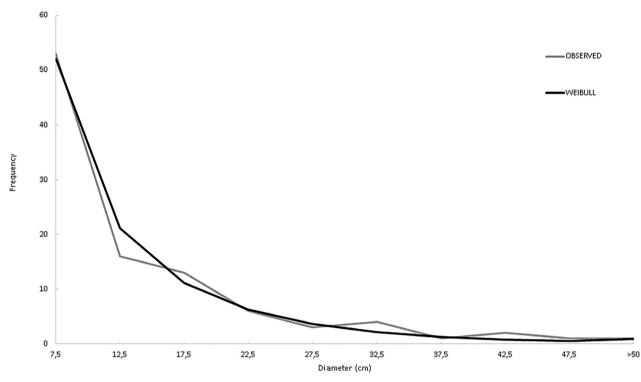


Figure 2. Distribution of measured DBH of trees sampled in a campinarana forest in central Amazonia compared to the estimated DBH distribution using a Weibull function.

Table 3. Two best fitted equations developed for total, above- and below-ground total biomass of campinarana and for biomass separated into two different diameter
classes. The coefficient of determination and standard deviation of the mean as a percentage are presented for each developed equation.

DBH CATEGORY	Ν	EQUATION	Sxy%	R ²
Above + below ground				
Total (> 5cm)	100	InTB = -1.373 + 2.546 * InD	4.19	0.98
Total (> 5cm)	100	InTB = -1.938 + 2.344 * InD+ 0.388 * InTH	4.25	0.98
Saplings (5cm < 10cm)	53	TB = 0.183 * D ^{2.722}	3.28	0.82
Saplings (5cm < 10cm)	53	TB = 0.089 * D ^{2.285} * TH ^{0.635}	2.92	0.86
Adults (>10 cm)	47	InTB=-1.374 + 2.546 * InD	4.43	0.96
Adults (>10 cm)	47	InTB = -2.001 + 2.368 * InD + 0.385 *InTH	4.37	0.96
Above ground				
Total (> 5cm)	100	InAGB = -1.553 + 2.567 * InD	4.27	0.98
Total (> 5cm)	100	InAGB = -2.246 + 2.319 * InD+ 0.476 *InTH	4.25	0.98
Saplings (5cm < 10cm)	53	AGB = 0.127 * D ^{2.84}	3.5	0.81
Saplings (5cm < 10cm)	53	AGB = 0.051 * D ^{2.303} * TH ^{0.786}	2.95	0.87
Adults (>10 cm)	47	InAGB = -1.523 + 2.557 * InD	4.53	0.96
Adults (>10 cm)	47	InAGB = -2.250 + 2.350 * InD + 0.446 * InTH	4.42	0.96
Below ground				
Total (> 5cm)	100	InBG = -3.268 + 2.428 *InD	6.49	0.94
Total (> 5cm)	100	InBG = -2.988 + 2.528 *InD + 0.192 * InTH	6.30	0.94
Saplings (5cm < 10cm)	53	InBG = -2.061 + 1.788 * InD	6.57	0.41
Saplings (5cm < 10cm)	53	InBG = -1.750 + 1.967 * InD – 0.267 *InTH	6.56	0.41
Adults (>10 cm)	47	InBG = -3.471 + 2.499 * InD	6.05	0.89
Adults (>10 cm)	47	InBG = -3.587 + 2.466 * InD + 0.071 * InTH	6.17	0.89

 R^2 =coefficient of determination; $S_{xyk=}$ standard deviation of the mean as a percentage; n=number of trees; Ln= natural logarithm; TB= total biomass; D= DBH of each tree; TH= total height; AGB= above-ground biomass; BG= below-ground biomass.

Table 4. Comparison between the standard deviations of the means as a percentage associated to the use of campinarana data fitted to primary dense forest equations and to campinarana equations to estimate above-ground, below-ground and total biomass.

Primary Dense Forest equations	S _{xy%}	Campinarana equations	S _{xy%}	Difference
$AGB = 2.2737*D^{1.9156}$	6.3	LnAGB= -1.553 + 2.567 * LnD	4.27	-2.03
BG = 0.0469*D ^{2.4754}	7.9	LnBG = -3.268 + 2.428 * LnD	6.5	-1.4
TB = 2.7179*D ^{1.8774}	6.7	LnTB = -1.373 + 2.546 * LnD	4.2	-2.5

S_{xy%=} standard deviation of the mean as a percentage; D= diameter at breast height; AGB= above-ground biomass; BG= below-ground biomass; TB= total biomass; Lh= natural logarithm

Table 5. Comparison between the standard deviations of the means as a percentage associated to the use of campinarana data fitted to primary dense forest equations multiplied by a dominant height ratio and to campinarana equations to estimate above-ground, below-ground and total biomass.

Campinarana equations	S _{xy%}	Dense forest equations	S _{xy%}	Difference
LnAGB = -1.553 + 2.567 * LnD	4.3	AGB= (2.2737*D ^{1.9156})*0.86	7.1	2.8
LnBG = -3.268 + 2.428 * LnD	6.5	BG = (0.0469*D ^{2.4754})*86	5.5	-1.0
LnTB = -1.373 + 2.546 * LnD	4.2	TB = (2.7179*D ^{1.8774})*086	8.1	3.9

DISCUSSION

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Biomass and carbon appraisals for tropical forests vary a lot in terms of values and methodologies. Houghton et al. (2000) concluded that 60% of uncertainties related to Amazon forest carbon flux calculations are due to divergences in methods. Usually biomass is estimate using either direct or indirect methods. Higuchi and Carvalho Jr. (1994) stressed that the direct method should perform better for homogeneous forest areas, but in general most studies include areas with large trees, resulting in overestimates. Gibbs et al. (2007) discuss that the direct method is accurate to estimate specific local biomass, however, it is cost and labor demanding and prohibitive to use in large scale analysis. Our campinarana biomass estimates using the destructive method in fixed-area plots generated high uncertainties related to the calculated means, confirming that the direct method is unreliable because it is based on only a few small plots as stated by Brown et al. (1989).

While our estimate of campinarana above-ground fresh biomass, including seedlings, trees, lianas and palm trees, was 452.8 ± 153 t.ha⁻¹, estimates of above-ground dry biomass of campinarana in Venezuela using the direct method ranged from 178.64 t.ha-1 (Bongers et al. 1985, *apud* Barbosa and Ferreira 2004) to 237 t.ha-1 (Klinge and Herrera 1983). None of the latter studies reported the uncertainties around the means, therefore it was not possible to compare them statistically with the present study.

The use of the direct method in this study showed that approximately 94% of the campinarana biomass consisted of trees (DBH \ge 5 cm) and that the uncertainty related to the mean of tree biomass was only 2.7%, corroborating that it is appropriate to develop allometric equations to estimate campinarana biomass indirectly using only the tree weight data.

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Indirect methods to estimate forest biomass are based either on volume and wood density or on allometric relations of trees. The use of volumetric methods to estimate biomass is controversial among researches as many studies of Amazon forest biomass used volume data collected during *Projeto RADAM*, between 1960 and 1970. *RADAM* inventories were carried out with the purpose of forest management and harvesting, therefore the reported volumes referred only to merchantable height and could not be used to calculate total or above-ground biomass of Amazon forests. Another controversial point to volumetric methods is the use of wood density, since wood density can vary among tree species, among individuals of the same species, among different parts of the same individual, and also from the core to the bark in one part of an individual tree (Higuchi *et al.* 2004).

Some authors also multiplied a taper factor to adjust the volumetric equation, which can cause a distortion of biomass estimates. Taper factors are usually based on few species (Somogyi *et al.* 2006) and there are also interspecific and inter-site variations (Higuchi *et al.* 2004).

The use of allometric equations is an alternative to the volumetric method. Allometry is the study of the growth of an organism part in relation to the growth of the whole organism or to geometric similarity (Niklas 1994). Allometric relations can be observed empirically or analytically, and is, essentially, based on regression analysis (Niklas 1994). The empirical approach is labor intensive, time consuming and inefficient for nationwide estimates (Zianis and Mecunccini 2004). However, this approach has generated highly accurate estimates of dense forest biomass and of carbon stocks in the Amazon on a regional scale (Silva 2007), and was validated by Araújo *et al.* (1999) in Tomé-Açu, Pará state.

The lower coefficients of determination indicate that saplings do not yet have an established pattern of growth and that variables other than DBH and height might be more appropriate for lower diametric classes. A test of similar allometric models showed that, for seedlings and saplings (higher than 30 cm and below 5 cm DBH), the use of diameter at ground basis is more adequate than DBH (Ribeiro *et al.* 2014).

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In addition to evaluating the three parameters used to compare the allometric equations in this study, variables such as time to collect data and costs should also be considered before using the equations to estimate tropical forest biomass in future studies. Models using only DBH as the independent variable would be more cost and time efficient, considering the difficulty in measuring tree height in the Amazon moist forest, and that height estimates can cause high levels of non-sampling errors.

Although it is generally accepted that in the Amazon forest below-ground biomass is equivalent to 20% of the aboveground biomass (Gibbs *et al.* 2007), our results showed the below-ground biomass in central Amazon campinarana to correspond only to 12% of the above-ground biomass. Also, the dominant height of campinaranas was 14% lower than the dominant height of the adjacent dense forest (Silva 2007).

Both forest types occur in the same area and share the same temperature and precipitation conditions, supporting the assumption that the most limiting factor for campinaranas is related to edaphic conditions (Prance 1975; Klinge *et al.* 1977; Luizão 2007). Nardoto (2005) compared campinarana and dense forest soil properties in the same area of the present study and found that campinarana Red-Yellow Podzolic consisted of 15% of clay and the dense forest oxisol consisted of 75% of clay. Campinarana carbon-to-nitrogen ratio (C:N) was 16.1, whilst the dense forest was 13,9. Higher C:N ratios indicate presence of more carbon in relation to nitrogen, what can hind organic matter decomposition and cause nitrogen immobilization by microbial cells, affecting negatively plants nutrition.

CONCLUSIONS

This study confirms that calculating forest biomass in the Amazon using the direct method with fixed-area plots can be highly inefficient. Preferably, biomass should be estimated using allometric equations developed for specific sites and forest types. The fixed-area plots used in this study revealed that approximately 94% of a campinarana forest total above-ground biomass in the central Amazon is composed of trees, indicating the suitability of using only tree data in developing biomass equations. In all three biomass categories used to develop allometric equations, there were at least two equations with high coefficients of determination (R²) and errors (S_{xy%}) below 10%. In the absence of a specific campinarana biomass equation, a dense forest biomass equation could be used to estimate biomass and carbon stock for campinaranas. A correction factor based on dominant height showed to be

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inefficient in diminishing the error associated with estimates for campinarana using dense forest equations.

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